

VOTING RESULTS | ANNUAL GENERAL MEETING OF LEONTEQ AG ON 28 MARCH 2018

Attendance

At the 2018 Annual General Meeting, a total of 153 shareholders were present, representing 66.25% of the 15,944,504 issued and outstanding shares.

Attendance As on 9.40 a.m.	Votes
Shareholders present	6,160,236
Independent proxy (Proxy Voting Services GmbH)	4,402,402
Total	10,562,638

1.1 Approval of the Business & Financial Review, the Annual Financial Statements and the Consolidated Financial Statements for the financial year 2017

Agenda item 1.1 Final voting results	Votes	In %
Yes	10,501,662	99.43%
No	25,794	0.24%
Abstentions	35,182	0.33%

1.2 Advisory vote on the 2017 Compensation Report

Agenda item 1.2 Final voting results	Votes	In %
Yes	8,161,618	77.27%
No	2,276,453	21.55%
Abstentions	124,587	1.18%

2 Discharge of the members of the Board of Directors and of the Executive Committee

Agenda item 2 Final voting results	Votes	In %
Yes	8,693,303	98.97%
No	49,425	0.56%
Abstentions	40,870	0.47%

3.1 Allocation and Appropriation of the Retained Profits

Agenda item 3.1 Final voting results	Votes	In %
Yes	10,408,090	98.53%
No	121,004	1.15%
Abstentions	34,194	0.32%

3.2 Appropriation of Reserves from Capital Contributions

Agenda item 3.2 Final voting results	Votes	In %
Yes	10,409,499	98.55%
No	113,470	1.07%
Abstentions	40,319	0.38%

4. Amendment of the articles of association

Agenda item 4. Final voting results	Votes	In %
Yes	10,489,261	99.30%
No	8,782	0.08%
Abstentions	65,245	0.62%

5.1 Re-election to the Board of Directors

Agenda item 5.1.1-5.1.7 Final voting results	Yes	In %	No	In %	Abstentions	In %
5.1.1 Re-election of Jörg Behrens	10,446,368	98.88%	45,754	0.43%	72,466	0.69%
5.1.2 Re-election of Paulo Brügger	10,460,617	99.02%	35,072	0.33%	68,899	0.65%
5.1.3 Re-election of Christopher M. Chambers	10,521,510	99.60%	8,802	0.08%	34,276	0.32%
5.1.4 Re-election of Vince Chandler	9,427,371	89.23%	1,044,714	9.89%	92,503	0.88%
5.1.5 Re-election of Patrick de Figueiredo	10,363,743	98.10%	97,199	0.92%	103,646	0.98%
5.1.6 Re-election of Hans Isler	10,386,230	98.31%	140,174	1.33%	38,184	0.36%
5.1.7 Re-election of Thomas R. Meier	10,440,654	98.82%	14,271	0.14%	109,663	1.04%

5.2 Election of Richard A. Laxer to the Board of Directors

Agenda item 5.2 Final voting results	Votes	In %
Yes	10,427,005	98.69%
No	98,832	0.94%
Abstentions	38,751	0.37%

5.3 Re-election of Christopher M. Chambers as the Chairman of the Board of Directors

Agenda item 5.3 Final voting results	Votes	In %
Yes	10,424,372	98.67%
No	106,804	1.01%
Abstentions	33,412	0.32%

5.4 Re-election to the Nomination and Remuneration Committee

Agenda item 5.4.1-5.4.2 Final voting results	Yes	In %	No	In %	Abstentions	In %
5.4.1 Re-election of Vince Chandler	8,950,798	84.72%	1,542,124	14.60%	71,666	0.68%
5.4.2 Re-election of Hans Isler	9,915,359	93.85%	592,244	5.61%	56,985	0.54%

5.5 Election of Richard A. Laxer to the Nomination and Remuneration Committee

Agenda item 5.5 Final voting results	Votes	In %
Yes	10,403,276	98.47%
No	115,111	1.09%
Abstentions	46,201	0.44%

6 Re-election of the Statutory Auditors

Agenda item 6 Final voting results	Votes	In %
Yes	10,476,739	99.17%
No	53,802	0.51%
Abstentions	34,047	0.32%

7 Re-election of the Independent Proxy

Agenda item 7 Final voting results	Votes	In %
Yes	10,508,283	99.47%
No	2,259	0.02%
Abstentions	54,046	0.51%

8.1 Binding Vote on the Maximum Total Compensation of the Members of the Board of Directors from the 2018 Annual General Meeting to the 2019 Annual General Meeting

Agenda item 8.1 Final voting results	Votes	In %
Yes	10,112,347	95.88%
No	311,521	2.95%
Abstentions	123,070	1.17%

8.2 Binding Vote on the Maximum Total Compensation of the Members of the Executive Committee for the Business Year 2019

Agenda item 8.2 Final voting results	Votes	In %
Yes	9,396,105	89.09%
No	1,054,673	10.00%
Abstentions	96,160	0.91%